

Compliance & Financial Regulation Validation Across Agencies



CASE STUDY

Case Study

Synaptein Solutions Inc. | Case Study Series

Client Challenge

Agencies implementing ERP and modernization programs faced the challenge of ensuring compliance with complex federal and state financial regulations. Audit readiness, security alignment, and accurate financial reporting were essential to avoid audit risks and reputational damage.

Synaptein Solution

Synaptein applied an independent QA and compliance-first framework across engagements with FDIC, SBA, IRS, GA DOAA, and TDLR. Our approach included:

- Compliance mapping from requirements to test execution
- Audit-ready documentation and evidence generation
- Independent dashboards for compliance tracking
- Automation to validate financial reporting outputs
- Security alignment with NIST CSF and SOC 2 standards

Results & Impact

- 100% compliance coverage validated across projects
- 35% reduction in audit preparation time
- Improved accuracy of financial reporting and dashboards
- Increased trust from oversight and regulatory bodies
- Enhanced audit readiness for federal and state agencies

Value Delivered

Through deep expertise in compliance and financial regulations, Synaptein ensured successful ERP and modernization outcomes for multiple agencies. Our independent QA provided transparency, reduced risk, and delivered measurable value by keeping agencies compliant and audit-ready.